

ATTORNEY'S DOCKET NO.

10/507428  
709690-28

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 35 CFR 1.5)

10/507,428

INTERNATIONAL APPLICATION NO.

PCT/EP03/06338

INTERNATIONAL FILING DATE

May 22, 2003

PRIORITY DATE CLAIMED

May 24, 2002

TITLE OF INVENTION

"SEABED ANCHOR"

APPLICANT(S) FOR DO/EO/US

ALLIOT, Vincent Marcel Ghislain

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 10 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ A preliminary amendment.
13. ☐ An Application Data Sheet under 37 CFR 1.76.
14. ☐ A substitute specification.
15. ☐ A power of attorney and/or change of address letter.
16. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
17. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
18. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 1.54(d)(4).
19. ☒ Other items or information: Request for Extension of Time; Postcard Receipt; Copy of Notification

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="text-align: center;">10/507,428</div>		INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/EP03/06338</div>		ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">7096SO-28</div>	
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21. The following fees are submitted: <input type="checkbox"/> a) Basic national fee ..... \$300.00 <input type="checkbox"/> b) Examination fee ..... \$200.00 <input type="checkbox"/> c) Search fee ..... \$500.00 <div style="text-align: right; margin-top: 10px;"><b>TOTAL OF ABOVE CALCULATIONS = \$1,000.00</b></div>					Applicant use	Office use only
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250.00</b> for each additional 50 sheets of paper or fraction thereof.						
Total Sheets		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
	-100 =	/50 =		x \$250.00	\$	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))					\$ 130.00	
CLAIMS		NUMBER FILED		NUMBER EXTRA	RATE	
Total claims		- 20 =			x \$50.00	\$
Independent claims		- 3 =			x \$200.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					+ \$360.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$	
<b>SUBTOTAL =</b>					\$	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
<b>TOTAL NATIONAL FEE =</b>					\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) <b>\$40.00</b> per property					\$	
<b>TOTAL FEES ENCLOSED =</b>					\$ 130.00	
05/17/005 UNRECORDED 00000054 10507428					<b>Amount to be refunded:</b>	\$
01 FEB 2007 130.00 00					<b>Amount to be charged:</b>	\$

a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>130.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.	<div style="text-align: center; margin-top: 20px;">             _____            SIGNATURE         </div> <div style="margin-top: 20px;">             Brent P. Johnson              Registration No. 38,031           </div>
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**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SHERIDAN ROSS P.C.  
 1560 Broadway, Suite 1200  
 Denver, Colorado 80202-5141  
 Telephone: (303) 863-9700  
 Facsimile: (303) 863-0223

**CUSTOMER NO. 22442**

IN THE UNITED STATES RECEIVING OFFICE (R/O/US)

In Re the Application of:

ALLIOT, Vincent Marcel Ghislain

Int'l. Serial No.: PCT/EP03/06338

Int'l. Filing Date: May 22, 2003

Priority Date: May 24, 2002

For: "SEABED ANCHOR"

Atty. File No.: 7096SO-28

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR EXTENSION OF TIME

ATTN: BOX: PCT

"EXPRESS MAIL" MAILING LABEL NO. EV539130521US  
DATE OF DEPOSIT: MAY 11, 2005

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS  
MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37  
CFR 1.10 ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO BOX PCT, COMMISSIONER FOR  
PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

*Christine Jacquet*  
Signature

Print/type name: CHRISTINE JACQUET

Dear Sir:

This is a request for a one-month extension of time for response to the Notification of Missing Requirements with a mailing date of March 10, 2005, thereby extending the period of response from May 10, 2005, to June 10, 2005. Enclosed is a check in the amount of \$120.00 to cover the fee for this one-month extension.

Respectfully submitted,

SHERIDAN ROSS P.C.

05/17/2005 SNAJARRD 03003054 10307420

02 F031251

120.00 02

By: *Brent P. Johnson*

Brent P. Johnson  
Registration No. 38,031  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: 5/11/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ALLIOT

Serial No.: 10/507,428

Int'l. Filing Date: 5/22/2003

Atty. File No.: 7096SO-28

For: "SEABED ANCHOR"

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) Group Art Unit:

) Examiner:

) INFORMATION DISCLOSURE STATEMENT

"EXPRESS-MAIL" MAILING LABEL NUMBER: EV 539130521 US  
DATE OF DEPOSIT: May 11, 2005

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UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO BOX PCT, COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: CHRISTINE JACQUET

SIGNATURE: *Christine Jacquet*

Dear Sir:

The references cited on attached Form PTO-1449 are being called to the attention of the Examiner.

☒ Copies of the cited non-patent and/or foreign references are enclosed herewith.

☐ Copies of the cited U.S. patents and/or patent applications are enclosed herewith.

☒ Copies of the cited U.S. patents/patent application publications are not enclosed in accordance with the waiver dated July 11, 2003, whereby patent applications filed after June 30, 2003 and international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003 need not submit copies of U.S. patents and U.S. patent application publications.

☐ Copies of the cited references are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

☐ To the best of applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents analogous art,

or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

### FEES

<input checked="" type="checkbox"/>	<p><b>37 CFR 1.97(b):</b> No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith satisfies one of the following conditions ("X" indicates satisfaction):</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or</li> <li><input type="checkbox"/> Within three months of the date of entry into the national stage of an international application as set forth in 37 CFR 1.491 or</li> <li><input checked="" type="checkbox"/> Before the mailing date of a first Office Action on the merits, or</li> <li><input type="checkbox"/> Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.</li> </ul> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input type="checkbox"/>	<p><b>37 CFR 1.97(c):</b> The information disclosure statement transmitted herewith is being filed after all the above conditions (37 CFR 1.97(b)), but before the mailing date of one of the following conditions:</p> <ul style="list-style-type: none"> <li>(1) a final action under 37 C.F.R. 1.113 or</li> <li>(2) a notice of allowance under 37 C.F.R. 1.311, or</li> <li>(3) an action that otherwise closes prosecution in the application.</li> </ul> <p>This Information Disclosure Statement is accompanied by:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</li> </ul> <p style="text-align: center;">OR</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Please charge Deposit Account 19-1970 in the amount of \$180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account 19-1970.</li> </ul>
<input type="checkbox"/>	<p><b>37 CFR 1.97(d):</b> This Information Disclosure Statement is being submitted after the period specified in 37 CFR 1.97(c).</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</li> </ul> <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Applicants hereby requests consideration of the reference(s) disclosed herein. Please charge Deposit Account 19-1970 in the amount of \$180.00 under 37 C.F.R. 1.17(p). Please credit any overpayment or charge any underpayment to Deposit Account 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</li> </ul>

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

☐ The undersigned certifies that:

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

☐ A copy of the communication from the foreign patent office is enclosed.

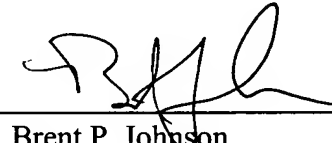
OR

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

SHERIDAN ROSS P.C.

By: \_\_\_\_\_



Brent P. Johnson  
Registration No. 38,031  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: \_\_\_\_\_

5/11/85

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DATE OF DEPOSIT: May 11, 2005

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FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: CHRISTINE JACQUET

SIGNATURE: Christine Jacquet



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/507,428	Vincent Marcel Ghislain Alliot	7096SO-28

INTERNATIONAL APPLICATION NO.

PCT/EP03/06338

LA. FILING DATE

PRIORITY DATE

05/22/2003

05/24/2002

22442  
 SHERIDAN ROSS PC  
 1560 BROADWAY  
 SUITE 1200  
 DENVER, CO 80202

RECEIVED

MAR 15 2005

SHERIDAN, ROSS

CONFIRMATION NO. 6318

371 FORMALITIES LETTER



\*OC000000015391238\*

Date Mailed: 03/10/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/10/2004
- Copy of the International Search Report filed on 09/10/2004
- Preliminary Amendments filed on 09/10/2004
- Request for Immediate Examination filed on 09/10/2004
- U.S. Basic National Fees filed on 09/10/2004
- Priority Documents filed on 09/10/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**



The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/507,428	PCT/EP03/06338	7096SO-28

FORM PCT/DO/EO/905 (371 Formalities Notice)